Ring Attention with Blockwise Transformers for Near-Infinite Context

Anonymous Author(s)

Affiliation Address email

Abstract

Transformers have emerged as the architecture of choice for many state-of-the-art AI models, showcasing exceptional performance across a wide range of AI applications. However, the memory demands imposed by Transformers limit their ability to handle long sequences, thereby creating challenges for tasks involving extended sequences or long-term dependencies. We present a distinct approach, Ring Attention, which leverages blockwise computation of self-5 attention to distribute long sequences across multiple devices while concurrently overlapping the 6 communication of key-value blocks with the computation of blockwise attention. By processing longer input sequences while maintaining memory efficiency, Ring Attention enables training 8 and inference of sequences that are device count times longer than those of prior memoryefficient Transformers, effectively eliminating the memory constraints imposed by individual 10 devices. Extensive experiments on language modeling tasks demonstrate the effectiveness of 11 Ring Attention in allowing large sequence input size and improving performance. 12

13 1 Introduction

17

18 19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

Transformers [35] have become the backbone of many state-of-the-art AI systems that have demonstrated impressive performance across a wide range of AI problems. Transformers achieve this success through their architecture design that uses self-attention and position-wise feedforward mechanisms.

These components facilitate the efficient capture of long-range dependencies between input tokens, and enable scalability through highly parallel computations.

However, scaling up the context length of Transformers is a challenge [26], since the inherited architecture design of Transformers, i.e. the selfattention has memory cost quadratic in the input sequence length, which makes it challenging to scale to longer input sequences. Large context Transformers are essential for tackling a diverse array of AI challenges, ranging from processing books and high-resolution images to analyzing long videos and complex codebases. They excel at extracting information from the interconnected web and hyperlinked content, and are crucial for handling complex scientific experiment data. There have been emerging use cases of language models with significantly expanded context than before: GPT-3.5 [29] with context

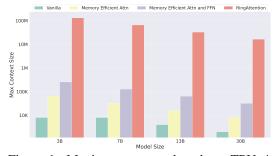


Figure 1: Maximum context length on TPUv4-512 (32GB memory on each TPUv4). Baselines are vanilla transformers [35], transformers with memory efficient attention [27], and memory efficient attention and feedforward (blockwise parallel transformers) [22]. Our proposed approach Ring Attention allows training 512 times longer sequence than prior SOTAs and enables the training of sequences that exceed 100 million in length without making approximations to attention.

length 16K, GPT-4 [26] with context length 32k, MosaicML's MPT [24] with context length 65k, and Anthropic's Claude [1] with context length 100k.

Driven by the significance, there has been surging research interests in reducing memory cost. One 39 line of research leverages the observation that the softmax matrix in self-attention can be computed 40 without materializing the full matrix [23] which has led to the development of blockwise computation 41 of self-attention and feedforward [27, 9, 22] without making approximations. Despite the reduced memory, a significant challenge still arises from storing the output of each layer. This necessity arises 43 from self-attention's inherent nature, involving interactions among all elements (n to n interactions). 44 The subsequent layer's self-attention relies on accessing all of the prior layer's outputs. Failing to 45 do so would increase computational costs cubically, as every output must be recomputed for each 46 sequence element, rendering it impractical for longer sequences. To put the memory demand in 47 perspective, even when dealing with a batch size of 1, processing 100 million tokens requires over 10,000GB of memory for a modest model with a hidden size of 1024. This is much greater than the 49 capacity contemporary GPUs, which typically have less than 100GB of high-bandwidth memory (HBM). 51

To tackle this challenge, we make a key observation: by performing self-attention and feedforward 52 network computations in a blockwise fashion [22], we can distribute sequence dimensions across 53 multiple devices, allowing concurrent computation and communication. This insight stems from 54 the fact that when we compute the attention on a block-by-block basis, the results are invariant to 55 the ordering of these blockwise computations. Our method distributes the outer loop of computing blockwise attention among hosts, with each device managing its respective input block. For the inner 57 loop, every device computes blockwise attention and feedforward operations specific to its designated 58 input block. Host devices form a conceptual ring, where during the inner loop, each device sends 59 a copy of its key-value blocks being used for blockwise computation to the next device in the ring, 60 while simultaneously receiving key-value blocks from the previous one. Because block computations 61 take longer than block transfers, overlapping these processes results in no added overhead compared to standard transformers. By doing so, each device requires memory only proportional to the block 63 size, which is independent of the original input sequence length. This effectively eliminates the memory constraints imposed by individual devices. Since our approach overlaps the communication of key-value blocks between hosts in a ring with blockwise computation, we name it Ring Attention. 66

We evaluate the effectiveness of our approach on language modeling benchmarks. Our experiments show that Ring Attention can reduce the memory requirements of Transformers, enabling us to train more than 500 times longer sequence than prior memory efficient state-of-the-arts and enables the training of sequences that exceed 100 million in length without making approximations to attention. Importantly, Ring Attention eliminates the memory constraints imposed by individual devices, empowering the training and inference of sequences with lengths that scale in proportion to the number of devices, essentially achieving near-infinite context size.

Our contributions are twofold: (a) proposing a memory efficient transformers architecture that allows
the context length to scale linearly with the number of devices while maintaining performance, eliminating the memory bottleneck imposed by individual devices, and (b) demonstrating the effectiveness
of our approach through extensive experiments.

78 2 Ring Attention

Our primary objective is to eliminates the memory constraints imposed by individual devices by 79 efficiently distribute long sequences across multiple hosts without adding overhead. To achieve this goal, we propose an enhancement to the blockwise parallel transformers (BPT) framework [22]. 81 When distributing an input sequence across different hosts, each host is responsible for running one 82 element of the outer loop of blockwise attention corresponding to its designated block, as well as the 83 feedforward network specific to that block. These operations do not necessitate communication with other hosts. However, a challenge arises in the inner loop, which involves key-value block interactions 85 that require fetching blocks from other hosts. Since each host possesses only one key-value block, 86 the naive approach of fetching blocks from other hosts results in two significant issues. Firstly, 87 it introduces a computation delay as the system waits to receive the necessary key-value blocks. 88 Secondly, the accumulation of key-value blocks leads to increased memory usage, which defeats the purpose of reducing memory cost.

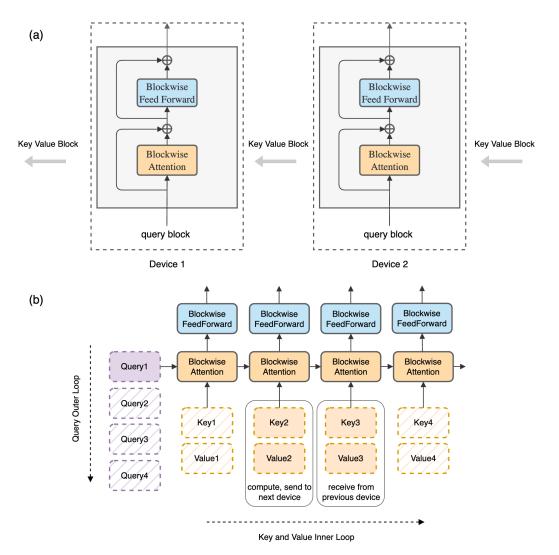


Figure 2: **Top (a):** In the framework of Ring Attention, key-value blocks traverse through hosts to facilitate attention and feedforward computations in a block-by-block fashion. As we compute attention, each host concurrently sends key-value blocks to the next host while receive key-value blocks from the preceding host, effectively overlapping communication with computation. **Bottom (b):** Ring Attention is the same as the original Transformer but with a different way of organizing the compute. In the diagram, we explain this by showing that the current device holds the left column first query block; then we iterate over the same key-value blocks sequence positioned horizontally. The query block, and the bottle middle key-value blocks, are used to compute self-attention (yellow box), whose output is pass to feedforward network (cyan box).

Ring-Based Blockwise Attention. To tackle the aforementioned challenges, we leverage the permutation invariance property of the inner loop's key-value block operations. This property stems from the fact that the self-attention between a query block and a group of key-value blocks can be computed in any order, as long as the statistics of each block are combined correctly for rescaling. We leverage this property by conceptualizing all hosts as forming a ring structure: host-1, host-2, ..., host-N. As we compute blockwise attention and feedforward, each host efficiently coordinates by concurrently sending key-value blocks being used for attention computation to the next host while receiving key-value blocks from the preceding host, effectively overlapping transferring of blocks with blockwise computation. Concretely, for any host-i, during the computation of attention between its query block and a key-value block, it concurrently sends key-value blocks to the next host-(i+1) while receiving key-value blocks from the preceding host-(i-1). If the computation time exceeds the time required for transferring key-value blocks, this results in no additional communication cost.

Table 1: Comparison of maximum activation sizes among different Transformer architectures. Here, b is batch size, h is hidden dimension, n is number of head, s is sequence length, c is block size, the block size (c) is independent of the input sequence length (s). The comparison is between vanilla Transformer [35], memory efficient attention [27], memory efficient attention and feedforward [22], and our proposed approach Ring Attention. Numbers are shown in Bytes per layer, assuming bfloat16 precision.

Layer Type	Self-Attention	FeedForward	Total
Vanilla Memory efficient attention Memory efficient attention	$2bns^2$ $2bsh + 4bch$	8bsh $8bsh$	$\begin{array}{c} 2bhs^2 \\ 8bsh \end{array}$
and feedforward	2bsh	2bsh	$\frac{2bsh}{}$
Ring Attention	6bch	2bch	6bch

This overlapping mechanism applies to both forward and backward passes of our approach since the same operations and techniques can be used.

Arithmetic Intensity Between Hosts. In order to determine the minimal required block size to overlap transferring with computation, assume that each host has F FLOPS and that the bandwidth between hosts is denoted as B. It's worth noting that our approach involves interactions only with the immediately previous and next hosts in a circular configuration, thus our analysis applies to both GPU all-to-all topology and TPU torus topology. Let's consider the variables: block size denoted as c and hidden size as d. When computing blockwise self-attention, we require $2dc^2$ FLOPs for calculating attention scores using queries and keys, and an additional $2dc^2$ FLOPs for multiplying these attention scores by values. In total, the computation demands amount to $4dc^2$ FLOPs. We exclude the projection of queries, keys, and values, as well as blockwise feedforward operations, since they only add compute complexity without any communication costs between hosts. This simplification leads to more stringent condition and does not compromise the validity of our approach. On the communication front, both key and value blocks require a total of 2cd bytes. Thus, the combined communication demand is 4cd bytes. To achieve an overlap between communication and computation, the following condition must hold: $4dc^2/F \ge 4cd/B$. This implies that the block size, denoted as c, should be greater than or equal to F/B. Effectively, this means that the block size needs to be larger than the ratio of FLOPs over bandwidth.

Memory Requirement. A host needs to store multiple blocks, including one block size to store the current query block, two block sizes for the current key and value blocks, and two block sizes for receiving key and value blocks. Furthermore, storing the output of blockwise attention and feedforward necessitates one block size, as the output retains the shape of the query block. Therefore, a total of six blocks are required, which translates to 6bch bytes of memory. It's worth noting that the blockwise feedforward network has a maximum activation size of 2bch [22]. Consequently, the total maximum activation size remains at 6bch bytes. Table 1 provides a detailed comparison of the memory costs between our method and other approaches. Notably, our method exhibits the advantage of linear memory scaling with respect to the block size c, and is independent of the input sequence length s. Our analysis shows that the model needs to fit in s=6c sequence length, i.e., six times of minimal block size. Requirements on popular computing servers as shown in Table C.3, the required minimal sequence length to be fit in each host is between 6K to 20K. This requirement is easy to meet using blockwise computation of attention and feedforward [22], which we will show in experiment section C.

3 Conclusion

In conclusion, we propose a memory efficient approach to reduce the memory requirements of Transformers, the backbone of state-of-the-art AI models. Our approach enables the context length to scale linearly with the number of devices while maintaining performance, eliminating the memory bottleneck imposed by individual devices. Through extensive experiments, we demonstrate its effectiveness, achieving up to 512x memory reduction than prior memory efficient Transformers. Our contributions include a practical method for large context sizes in large Transformer models.

In terms of future prospects, the possibility of near-infinite context introduces a vast array of exciting opportunities, such as large video-language models, decision making and tool use transformers on ex-

tended trial-and-error experience, understanding and generating large code projects, and transforming language models into a versatile AI scientist for helping understand science experimental data.

146 References

- 147 [1] Anthropic. Introducing claude, 2023. URL https://www.anthropic.com/index/ 148 introducing-claude.
- [2] Christian Bischof. *Parallel computing: Architectures, algorithms, and applications*, volume 15. IOS Press, 2008.
- [3] Tom Brown, Benjamin Mann, Nick Ryder, Melanie Subbiah, Jared D Kaplan, Prafulla Dhariwal,
 Arvind Neelakantan, Pranav Shyam, Girish Sastry, Amanda Askell, et al. Language models are
 few-shot learners. Advances in neural information processing systems, 33:1877–1901, 2020.
- [4] Lili Chen, Kevin Lu, Aravind Rajeswaran, Kimin Lee, Aditya Grover, Misha Laskin, Pieter
 Abbeel, Aravind Srinivas, and Igor Mordatch. Decision transformer: Reinforcement learning
 via sequence modeling. Advances in neural information processing systems, 34:15084–15097,
 2021.
- 158 [5] Tianqi Chen, Bing Xu, Chiyuan Zhang, and Carlos Guestrin. Training deep nets with sublinear memory cost. *arXiv preprint arXiv:1604.06174*, 2016.
- [6] Wei-Lin Chiang, Zhuohan Li, Zi Lin, Ying Sheng, Zhanghao Wu, Hao Zhang, Lianmin Zheng,
 Siyuan Zhuang, Yonghao Zhuang, Joseph E Gonzalez, et al. Vicuna: An open-source chatbot impressing gpt-4 with 90%* chatgpt quality. See https://vicuna.lmsys.org, 2023.
- 163 [7] Anthony Danalis, Ki-Yong Kim, Lori Pollock, and Martin Swany. Transformations to parallel codes for communication-computation overlap. In *SC'05: Proceedings of the 2005 ACM/IEEE conference on Supercomputing*, pages 58–58. IEEE, 2005.
- 166 [8] Anthony Danalis, Lori Pollock, Martin Swany, and John Cavazos. Mpi-aware compiler op-167 timizations for improving communication-computation overlap. In *Proceedings of the 23rd* 168 international conference on Supercomputing, pages 316–325, 2009.
- [9] Tri Dao, Dan Fu, Stefano Ermon, Atri Rudra, and Christopher Ré. Flashattention: Fast and
 memory-efficient exact attention with io-awareness. *Advances in Neural Information Processing Systems*, 35:16344–16359, 2022.
- 172 [10] Facebook. Fully Sharded Data Parallel: faster AI training with fewer GPUs engineering.fb.com. https://engineering.fb.com/2021/07/15/open-source/fsdp/, 2023.
- 174 [11] Xinyang Geng and Hao Liu. Openllama: An open reproduction of llama, may 2023. *URL https://github.com/openlm-research/open_llama*, 2023.
- 176 [12] Xinyang Geng, Arnav Gudibande, Hao Liu, Eric Wallace, Pieter Abbeel, Sergey Levine, and Dawn Song. Koala: A dialogue model for academic research. *Blog post, April*, 1, 2023.
- 178 [13] Andrew Gibiansky. Bringing hpc techniques to deep learning. *Baidu Research, Tech. Rep.*, 2017.
- 180 [14] Yanping Huang, Youlong Cheng, Ankur Bapna, Orhan Firat, Dehao Chen, Mia Chen, HyoukJoong Lee, Jiquan Ngiam, Quoc V Le, Yonghui Wu, et al. Gpipe: Efficient training of giant neural networks using pipeline parallelism. *Advances in neural information processing systems*, 32, 2019.
- [15] Joshua Hursey and Richard L Graham. Building a fault tolerant mpi application: A ring
 communication example. In 2011 IEEE International Symposium on Parallel and Distributed
 Processing Workshops and Phd Forum, pages 1549–1556. IEEE, 2011.
- 187 [16] OpenAI kernel team. Openai triton fused attention, 2023. URL https://github.com/ 188 openai/triton/blob/main/python/tutorials/06-fused-attention.py.

- 189 [17] Vijay Korthikanti, Jared Casper, Sangkug Lym, Lawrence McAfee, Michael Andersch, Moham-190 mad Shoeybi, and Bryan Catanzaro. Reducing activation recomputation in large transformer 191 models. *arXiv* preprint arXiv:2205.05198, 2022.
- [18] Michael Laskin, Denis Yarats, Hao Liu, Kimin Lee, Albert Zhan, Kevin Lu, Catherine Cang,
 Lerrel Pinto, and Pieter Abbeel. Urlb: Unsupervised reinforcement learning benchmark. arXiv
 preprint arXiv:2110.15191, 2021.
- [19] Dacheng Li, Rulin Shao, Anze Xie, Ying Sheng, Lianmin Zheng, Joseph E. Gonzalez, Ion
 Stoica, Xuezhe Ma, and Hao Zhang. How long can open-source llms truly promise on context
 length?, June 2023. URL https://lmsys.org/blog/2023-06-29-longchat.
- 198 [20] Shenggui Li, Fuzhao Xue, Yongbin Li, and Yang You. Sequence parallelism: Making 4d parallelism possible. *arXiv preprint arXiv:2105.13120*, 2021.
- [21] Hao Liu and Pieter Abbeel. Emergent agentic transformer from chain of hindsight experience.
 International Conference on Machine Learning, 2023.
- [22] Hao Liu and Pieter Abbeel. Blockwise parallel transformer for large context models. Advances
 in neural information processing systems, 2023.
- [23] Maxim Milakov and Natalia Gimelshein. Online normalizer calculation for softmax. arXiv
 preprint arXiv:1805.02867, 2018.
- 206 [24] MosaicML. Introducing mpt-7b: A new standard for open-source, commercially usable llms, 207 2023. URL https://www.mosaicml.com/blog/mpt-7b.
- Sharan Narang, Hyung Won Chung, Yi Tay, William Fedus, Thibault Fevry, Michael Matena,
 Karishma Malkan, Noah Fiedel, Noam Shazeer, Zhenzhong Lan, et al. Do transformer modifications transfer across implementations and applications? arXiv preprint arXiv:2102.11972,
 2021.
- 212 [26] OpenAI. Gpt-4 technical report, 2023.
- 213 [27] Markus N Rabe and Charles Staats. Self-attention does not need o(n2) memory. *arXiv preprint* arXiv:2112.05682, 2021.
- 215 [28] Samyam Rajbhandari, Jeff Rasley, Olatunji Ruwase, and Yuxiong He. Zero: Memory optimiza-216 tions toward training trillion parameter models. In SC20: International Conference for High 217 Performance Computing, Networking, Storage and Analysis, pages 1–16. IEEE, 2020.
- [29] J. Schulman, B. Zoph, C. Kim, J. Hilton, J. Menick, J. Weng, J. F. C. Uribe, L. Fedus, L. Metz,
 M. Pokorny, R. G. Lopes, S. Zhao, A. Vijayvergiya, E. Sigler, A. Perelman, C. Voss, M. Heaton,
 J. Parish, D. Cummings, R. Nayak, V. Balcom, D. Schnurr, T. Kaftan, C. Hallacy, N. Turley,
 N. Deutsch, and V. Goel. Chatgpt: Optimizing language models for dialogue. *OpenAI Blog*,
 2022 URL https://openai.com/blog/chatgpt.
- 223 [30] Alexander Sergeev and Mike Del Balso. Horovod: fast and easy distributed deep learning in tensorflow. *arXiv preprint arXiv:1802.05799*, 2018.
- 225 [31] Mohammad Shoeybi, Mostofa Patwary, Raul Puri, Patrick LeGresley, Jared Casper, and Bryan Catanzaro. Megatron-lm: Training multi-billion parameter language models using model parallelism. *arXiv preprint arXiv:1909.08053*, 2019.
- 228 [32] Yi Tay, Mostafa Dehghani, Samira Abnar, Hyung Won Chung, William Fedus, Jinfeng Rao,
 229 Sharan Narang, Vinh Q Tran, Dani Yogatama, and Donald Metzler. Scaling laws vs model
 230 architectures: How does inductive bias influence scaling? arXiv preprint arXiv:2207.10551,
 231 2022.
- 232 [33] Jax team. Jax pallas fused attention, 2023. URL https://github.com/google/jax/blob/ 233 main/jax/experimental/pallas/ops/tpu/flash_attention.py.
- Hugo Touvron, Thibaut Lavril, Gautier Izacard, Xavier Martinet, Marie-Anne Lachaux, Timothée Lacroix, Baptiste Rozière, Naman Goyal, Eric Hambro, Faisal Azhar, et al. Llama: Open and efficient foundation language models. *arXiv preprint arXiv:2302.13971*, 2023.

- [35] Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N Gomez,
 Łukasz Kaiser, and Illia Polosukhin. Attention is all you need. Advances in neural information
 processing systems, 30, 2017.
- [36] Shibo Wang, Jinliang Wei, Amit Sabne, Andy Davis, Berkin Ilbeyi, Blake Hechtman, Dehao
 Chen, Karthik Srinivasa Murthy, Marcello Maggioni, Qiao Zhang, et al. Overlap communication
 with dependent computation via decomposition in large deep learning models. In *Proceedings of the 28th ACM International Conference on Architectural Support for Programming Languages and Operating Systems, Volume 1*, pages 93–106, 2022.
- [37] Denis Yarats, David Brandfonbrener, Hao Liu, Michael Laskin, Pieter Abbeel, Alessandro
 Lazaric, and Lerrel Pinto. Don't change the algorithm, change the data: Exploratory data for
 offline reinforcement learning. arXiv preprint arXiv:2201.13425, 2022.

48 A Large Context Memory Constraint

Given input sequences $Q, K, V \in \mathbb{R}^{s \times d}$ where s is the sequence length and d is the head dimension. We compute the matrix of outputs as:

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d}})V,$$

where softmax is applied row-wise. Each self-attention sub-layer is accompanied with a feedforward network, which is applied to each position separately and identically. This consists of two linear transformations with a ReLU activation in between.

$$FFN(x) = \max(0, xW_1 + b_1)W_2 + b_2.$$

Blockwise Parallel Transformers. Prior state-of-the-arts have led to substantial reductions in memory utilization, achieved through innovative techniques that enable attention computation without full materialization by computing attention in a block by block manner [27, 9, 22]. These advancements lowered the memory overhead of attention to 2bsh Bytes per layer, where b represents the batch size, s denotes the sequence length, and b stands for the hidden size of the model. To further reduce memory usage, blockwise parallel transformer (BPT) [22] introduced a strategy where the feedforward network associated with each self-attention sub-layer is computed in a block-wise fashion. This approach effectively limits the maximum activation size of feedforward network from bsh to bsh. For a more detailed analysis of memory efficiency, please refer to the discussion provided therein. In summary, the state-of-the-art transformer layer's memory cost of activation is bsh.

Large Output of Each Layer. While BPT significantly reduces memory demand in Transformers, it still presents a major challenge for scaling up context length because it requires storing the output of each layer. This storage is crucial due to the inherent nature of self-attention, which involves interactions among all elements (n to n interactions). Without these stored outputs, the subsequent layer's self-attention becomes computationally impractical, necessitating recomputation for each sequence element. To put it simply, processing 100 million tokens with a batch size of 1 requires over 10,000GB of memory even for a modest model with a hidden size of 1024. In contrast, modern GPUs typically provide less than 100GB of high-bandwidth memory (HBM), and the prospects for significant GPU HBM expansion are hindered by physical limitations and high manufacturing costs.

B Setting

We evaluate the impact of using Ring Attention in improving Transformer models by benchmarking maximum sequence length and model flops utilization.

Model Configuration. Our study is built upon the LLaMA architecture, we consider 3B, 7B, 13B, and 30B model sizes in our experiments.

Baselines. We evaluate our method by comparing it with vanilla transformers [35] which computes self-attention by materializing the attention matrix and computes the feedforward network normally, transformers with memory efficient attention [27] and its efficient CUDA implementation [9], and transformers with both memory efficient attention and feedforward [22].

Training Configuration. For all methods, we apply full gradient checkpointing [5] to both attention and feedforward, following prior works [27, 22]. The experiments are on both GPUs and TPUs. For GPUs, we consider both single DGX A100 server with 8 GPUs and distributed 32 A100 GPUs. We also experiment with TPUs, from older generations TPUv3 to newer generations of TPUv4 and TPUv5e. We note that all of our results are obtained using full precision instead of mixed precision.

C Results

In our experiments, our primary objective is to comprehensively evaluate the performance of Ring Attention across multiple key metrics, including maximum supported sequence length within accelerator memory, model flops utilization, and throughput. We compare Ring Attention's performance with several baseline models, including the vanilla transformers [35], transformers with memory efficient attention [27], and transformers with both memory efficient attention and feedforward [22], across different model sizes and accelerator configurations.

Table 2: Maximum context length supported in device memory on different model sizes and clusters of accelerators. Baselines are vanilla transformer [35], transformer with memory efficient attention [27], and transformer with memory efficient attention and feedforward [22]. The context size is reported in tokens (1e3). Our Ring Attention substantially outperforms baselines and scales linearly with number of devices, achieving over 100M context size.

	Max context size supported (×1e3)				
	Vanilla	Memory Efficient Attn	Memory Efficient Attn and FFN	Ring Attention (Ours)	Ours vs SOTA
8x A100 NVLink					
3B	16	256	512	4096 (4M)	8x
7B	16	256	512	4096 (4M)	8x
13B	8	128	256	2048 (2M)	8x
30B	8	64	256	2048 (2M)	8x
32x A100 InfiniBand					
7B	32	512	1024	32768 (32M)	32x
30B	16	128	512	16384 (16M)	32x
TPUv3-512 ¹					
7B	4	16	64	16384 (16M)	256x
13B	2	8	32	8192 (8M)	256x
30B	1	4	16	4096 (4M)	256x
TPUv4-512					
3B	8	64	256	131072 (131M)	512x
7B	8	32	128	65536 (65M)	512x
13B	4	16	64	32768 (32M)	512x
30B	2	8	32	16384 (16M)	512x
TPUv5e-256					
7B	4	16	64	16384 (16M)	256x
30B	1	4	16	4096 (4M)	256x

C.1 Evaluating Max Context Size

We evaluate maximum supported context length using tensor parallelism and batch size 1 in sequences. Following prior works [22, 31], we note that no data parallelism is considered in our evaluations since our approach is independent of data parallelism. As a result, the batch sizes used in our analysis are much lower than the ones used for the end-to-end training. Practitioners can combine our method with data parallelism to scale up batch size, which we will show in Section C.2. Table 2 summarizes the results of our experiments.

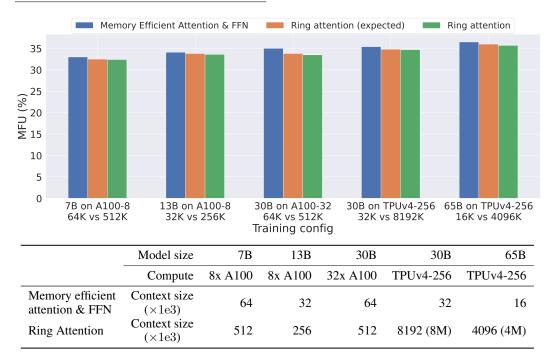
Our Ring Attention model consistently surpasses baselines, delivering superior scalability across diverse hardware setups. For example, with 32 A100 GPUs, we achieve over 32 million tokens in context size, a significant improvement over baselines. Furthermore, when utilizing larger accelerators like TPUv4-512, Ring Attention enables a 512x increase in context size, allows training sequences of over 100 million tokens. Furthermore, our Ring Attention model scales linearly with the number of devices, as demonstrated by the 8x improvement over BPT on 8 A100 and the 512x improvement on TPUv4-512. If a model can be trained with context size s on s GPUs using the blockwise attention and feedforward, with our Ring Attention approach, it becomes possible to train a model with a context size of s.

C.2 Evaluating Model Flops Utilization

We evaluate the model flops utilization (MFU) of Ring Attention in standard training settings using fully sharded data parallelism(FSDP) [10] and tensor parallelism following LLaMA and OpenLLaMA [34, 11]. The batch size in tokens are 2M on 8/32x A100 and 4M on TPUv4-256. Our

¹Unlike TPUv4-256 and TPUv5-256 where the number 256 represents the count of TPUv4 (v5) hosts, TPUv3 uses a doubled host count notation. So, TPUv3-512 means there are 256 hosts. See https://cloud.google.com/tpu/docs/system-architecture-tpu-vm#tpu_v3 for more details.

Table 3: Model flops utilization (MFU) with different training configurations: model sizes, compute, and context lengths. Ring Attention enables training large models (30B-65B) for over 1M context size with negligible overheads.



goal is investigating the impact of model size and context length on MFU, a critical performance metrics while highlighting the benefits of our approach. Table C.1 presents the results of our experiments on MFU for different model sizes and context lengths. We present the achieved MFU using state-of-the-art memory efficient transformers BPT [22], compare it to our anticipated MFU based on these results, and demonstrate the actual MFU obtained with our approach (Ring Attention). For fair comparison, both BPT and our approach are based on the same BPT implementation² on both GPUs and TPUs. It's worth noting that on GPUs our approach Ring Attention can be also integrated with the more compute efficient Triton code [16] or CUDA code [9] of memory efficient attention [27], similarly on TPUs it is also compatible with Pallas [33]. Combing these low level kernels implementations with our approach can maximize MFU, we leave that to future work.

Ring Attention trains much longer context sizes for self-attention, resulting in higher self-attention FLOPs compared to baseline models. Since self-attention has a lower MFU than feedforward, Ring Attention is expected to have a lower MFU than the baseline models. Our method offers a clear advantage in terms of maintaining MFU while enabling training with significantly longer context lengths. As shown in Table C.1, when comparing our approach to prior state-of-the-arts, it is evident that we can train very large context models without compromising MFU or throughput.

C.3 Impact on LLM Performance

We evaluate Ring Attention by applying our method to finetune LLaMA model to longer context. In this experiment, while our approach enables training with millions of context tokens, we conducted finetuning on the LLaMA-13B model, limiting the context length to 512K tokens due to constraints on our cloud compute budget. This finetuning was carried out on 8 A100 GPUs, using the ShareGPT dataset, following methodologies as outlined in prior works [6, 12]. We then evaluated our finetuned model on the line retrieval test [19]. In this test, the model needs to precisely retrieve a number from a long document, the task can effectively capture the abilities of text generation, retrieval, and information association at long context, reflected by the retrieving accuracy. Figure 3 presents the accuracy results for different models across varying context lengths (measured in tokens). Notably, our model, Ring Attention-13B-512K, stands out as it maintains high accuracy levels even with

https://github.com/lhao499/llm_large_context

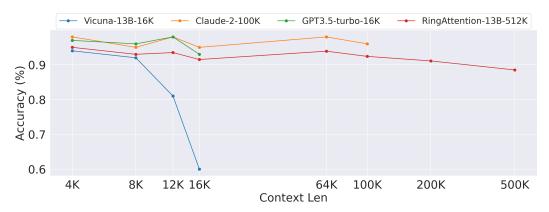


Figure 3: Comparison of different models on the long-range line retrieval task.

Table 4: Minimal sequence length needed on each device. Interconnect Bandwidth is the uni-directional bandwidth between hosts, i.e., NVLink / InfiniBand bandwidth between GPUs and ICI bandwidth between TPUs. Minimal sequence length s=6c and minimal block size $c={\rm FLOPS/Bandwidth}$.

Spec Per Host	FLOPS	НВМ	Interconnect Bandwidth	Minimal Blocksize	Minimal Sequence Len
	(TF)	(GB)	(GB/s)	$(\times 1e3)$	$(\times 1e3)$
A100 NVLink	312	80	300	1.0	6.2
A100 InfiniBand	312	80	100	3.1	18.7
TPU v3	123	16	112	1.1	6.6
TPU v4	275	32	268	1.0	6.2
TPU v5e	196	16	186	1.1	6.3

```
Algorithm 1 Reducing Transformers Memory Cost with Ring Attention.
```

341

342

343

344

345

346

347

```
Required: Input sequence x. Number of hosts N_h.
Initialize
Split input sequence into N_h blocks that each host has one input block.
Compute query, key, and value for its input block on each host.
for Each transformer layer do
  \mathbf{for}\ count = 1\ \mathbf{to}\ N_h - 1\ \mathbf{do}
     for For each host concurrently. do
       Compute memory efficient attention incrementally using local query, key, value blocks.
       Send key and value blocks to next host and receive key and value blocks from previous
       host.
     end for
  end for
  for For each host concurrently. do
     Compute memory efficient feedforward using local attention output.
  end for
end for
```

long contexts. GPT3.5-turbo-16K, Vicuna-16B-16K, and Claude-2-100K demonstrate competitive accuracy within short context lengths. However, they cannot handle extended context lengths.

Algorithm and Implementation. Algorithm 1 provides the pseudocode of the algorithm. Ring Attention is compatible with existing code for memory efficient transformers: Ring Attention just needs to call whatever available memory efficient computation locally on each host, and overlap the communication of key-value blocks between hosts with blockwise computation. We use collective operation <code>jax.lax.ppermute</code> to send and receive key value blocks between nearby hosts. A Jax implementation is provided in Appendix E.

9 D Related Work

Transformers have garnered significant attention in the field of AI and have become the backbone 350 351 for numerous state-of-the-art models. Several works have explored memory-efficient techniques to address the memory limitations of Transformers and enable their application to a wider range 352 of problems. Computing exact self-attention in a blockwise manner using the tiling technique [23] 353 has led to the development of memory efficient attention mechanisms [27] and its efficient CUDA 354 implementation [9], and blockwise parallel transformer [22] that proposes computing both feedfor-355 ward and self-attention block-by-block, resulting in a significant reduction in memory requirements. 356 In line with these advancements, our work falls into the category of memory efficient computation for Transformers. Other works have investigated the approximation of attention mechanisms, yet these efforts have often yielded sub-optimal results or encountered challenges during scaling up. For an in-depth review of these techniques, we recommend referring to the surveys by Narang et al. 360 [25], Tay et al. [32]. Another avenue of research explores various parallelism methods, including 361 tensor parallelism [31], pipeline parallelism [14], sequence parallelism [20, 17], and FSDP [10, 28]. 362 The activations of self-attention take a substantial amount of memory for large context models and 363 tensor parallelism can only reduce parts of activations memory. Sequence parallelism of self-attention 364 introduces a significant communication overhead that cannot be overlapped with computation, our 365 work leverages on blockwise parallel transformers to distribute blockwise computation across devices 366 367 and concurrently overlaps the communication of key-value blocks between hosts with blockwise computation. Overlapping communication with computation has been studied in high performance 368 computing literature [7, 36, 8, inter alia]. While ring communication has found applications in other 369 parallel computing scenarios [2, 15, 13, 30], our work stands out as the first work to show that it can 370 be applied to self-attention as used in Transformers and to make it fit efficiently into Transformer 371 training and inference without adding significant overhead by overlapping blockwise computation and communication.

E Code

The implementation of Ring Attention in Jax is provided in Figure 4. We use defvjp function 375 to define both the forward and backward passes, and use collective operation jax.lax.ppermute 376 to facilitate the exchange of key-value blocks among a ring of hosts. The provided code snip-377 pet highlights essential components of Ring Attention. The complete implementation with 378 maximum memory efficient just needs to replace the local blockwise computation, specifi-379 cally jnp.einsum("bshd,btd->bhst", q, k) and jnp.einsum("bhst,btd->bshd", s, v) 380 as well as the local blockwise feedforward computation with BPT's Jax based blockwise attention 381 and FFN computation. For maximum compute efficiency our Ring Attention can be integrated 382 with exiting kernel-level fused-attention implementations, such as on GPUs Ring Attention can be 383 integrated with Triton code [16] or CUDA code [9], similarly on TPUs it is also compatible with Pallas code [33] of the memory efficient attention [27].

386 F Experiment Details

387 F.1 Evaluation of context length

In the experimental results presented in Section C.1, we used tensor parallelism to partition the model across GPUs or TPU units. Our evaluation focused on determining the maximum achievable sequence length, using a sequence number of one. For TPUs, we utilized its default training configuration, which involved performing matmul operations in bfloat16 format with weight accumulation in float32. On the other hand, for GPUs, we adopted the default setup, where all operations were performed in float32.

394 F.2 Evaluation of MFU

395

396

In the evaluation presented in Section C.2, the training was conducted using FSDP [10] with no gradient accumulation. The batch size in tokens is 2 million per batch on GPU and 4 million per batch on TPU. For gradient checkpointing [5], we used nothing_saveable as checkpointing policies for attention and feedforward network (FFN). For more details, please refer to Jax documentation.

```
Opartial(jax.custom_vjp, nondiff_argnums=[3, 4, 5])
2
    def _ring_attention_fwd(q, k, v, mask, axis_name, float32_logits):
        if float32_logits:
3
            q, k = q.astype(jnp.float32), k.astype(jnp.float32)
        batch, q_len, num_heads, _ = q.shape
        batch, kv_len, dim_per_head = k.shape
6
        numerator = jnp.zeros((batch, q_len, num_heads, dim_per_head)).astype(q.dtype)
        denominator = jnp.zeros((batch, num_heads, q_len)).astype(q.dtype)
8
        axis_size = lax.psum(1, axis_name)
        scale = jnp.sqrt(q.shape[-1])
10
        def scan_kv_block(carry, idx):
11
            prev_max_score, numerator, denominator, k, v = carry
12
            mask = lax.dynamic_slice_in_dim(mask,
13
                 (lax.axis_index(axis_name) - idx) % axis_size * kv_len, kv_len, axis=-1)
14
            attn_weights = jnp.einsum("bqhd,bkd->bhqk", q, k) / scale
15
            attn_weights = jnp.where(mask, -jnp.inf, attn_weights)
16
17
            max_score = jnp.maximum(prev_max_score, jnp.max(attn_weights, axis=-1))
            exp_weights = jnp.exp(attn_weights - max_score[..., None])
            correction = rearrange(jnp.exp(prev_max_score - max_score), 'b h q -> b q h')[..., None]
19
            numerator = numerator * correction + jnp.einsum("bhqk,bkd->bqhd", exp_weights, v)
20
            denominator = denominator * jnp.exp(prev_max_score - max_score) + jnp.sum(exp_weights, axis=-1)
21
            k, v = map(lambda x: lax.ppermute(x, axis_name, perm=[(i,
22
23
                (i + 1) % axis_size) for i in range(axis_size)]), (k, v))
            return (max_score, numerator, denominator, k, v), None
24
        prev_max_score = jnp.full((batch, num_heads, q_len), -jnp.inf).astype(q.dtype)
25
        (numerator, max_score, denominator, _, _), _ = lax.scan(scan_kv_block,
26
            init=(prev_max_score, numerator, denominator, k, v), xs=jnp.arange(0, axis_size))
27
        output = numerator / rearrange(denominator, 'b h q -> b q h')[..., None]
28
29
        return output.astype(v.dtype), (output, q, k, v, numerator, denominator, max_score)
30
    def _ring_attention_bwd(mask, axis_name, float32_logits, res, g):
31
32
        del float32_logits
        axis_size = lax.psum(1, axis_name)
33
        output, q, k, v, numerator, denominator, max_score = res
34
        dq = jnp.zeros_like(q, dtype=jnp.float32)
35
        dk = jnp.zeros_like(k, dtype=jnp.float32)
36
        dv = jnp.zeros_like(v, dtype=jnp.float32)
37
        batch, kv_len, dim_per_head = k.shape
38
39
        scale = jnp.sqrt(q.shape[-1])
        def scan_kv_block(carry, idx):
40
41
            dq, dk, dv, k, v = carry
            mask = lax.dynamic_slice_in_dim(mask,
42
                (lax.axis_index(axis_name) - idx) % axis_size * kv_len, kv_len, axis=-1)
43
44
            attn_weights = jnp.einsum("bqhd,bkd->bhqk", q, k) / scale
            attn_weights = jnp.where(mask, -jnp.inf, attn_weights)
45
            exp_weights = jnp.exp(attn_weights - max_score[..., None]) / denominator[..., None]
46
            ds = jnp.einsum("bqhd,bkd->bhqk", g, v)
47
            dl = (ds - jnp.einsum("bqhd,bqhd->bhs", g, output)[..., None]) * exp_weights
48
            dq = dq + jnp.einsum("bhqk,bkd->bqhd", dl, k) / scale
dk = dk + jnp.einsum("bqhd,bhqk->bkd", q, dl) / scale
50
            dv = dv + jnp.einsum("bhqk,bqhd->bkd", exp_weights, g)
51
            k, v, dk, dv = map(lambda x: lax.ppermute(x, axis_name, perm=[(i,
52
                 (i + 1) % axis_size) for i in range(axis_size)]), (k, v, dk, dv))
            return (dq, dk, dv, k, v), None
54
55
        (dq, dk, dv, k, v), _ = lax.scan(scan_kv_block, init=(dq, dk, dv, k, v), xs=jnp.arange(0, axis_size))
        dq, dk, dv = dq.astype(q.dtype), dk.astype(k.dtype), dv.astype(v.dtype)
56
        return dq, dk, dv
57
    @partial(jax.custom_vjp, nondiff_argnums=[3, 4, 5])
59
    def ring_attention(q, k, v, mask, axis_name, float32_logits=True):
60
61
        y, _ = _ring_attention_fwd(q, k, v, mask, axis_name, float32_logits)
62
        return y
63
    ring_attention.defvjp(_ring_attention_fwd, _ring_attention_bwd)
```

Figure 4: Key parts of the implementation of Ring Attention in Jax. We use collective operation lax. ppermute to send and receive key value blocks between previous and next hosts.

Table 5: Application of Ring Attention on improving Transformer in RL. BC and DT use vanilla attention. AT + ME denotes using memory efficient attention, AT + BPT denotes using blockwise parallel transformer. AT + RA denotes using Ring Attention.

ExoRL	BC-10%	DT	AT + ME	AT + BPT	AT + BPT	AT + RA
Task			N Trajs = 32	N Trajs = 32	N Trajs = 128	N Trajs = 128
Walker Stand	52.91	34.54	oom	95.45	oom	98.23
Walker Run	34.81	49.82	oom	105.88	oom	110.45
Walker Walk	13.53	34.94	oom	78.56	oom	78.95
Cheetah Run	34.66	67.53	oom	178.75	oom	181.34
Jaco Reach	23.95	18.64	oom	87.56	oom	89.51
Cartpole Swingup	56.82	67.56	oom	120.56	oom	123.45
Total Average	36.11	45.51	oom	111.13	oom	113.66

F.3 Evaluation on line retrieval

399

408

409

410

411

412

413

416

418

419

420

421

422

423

424

425

426

427

428

429

430

431

432

433

434

435

In the evaluation presented in Section C.3, the training was conducted using FSDP on 8x A100 80GB 400 Cloud GPUs. We finetuned the LLaMA-13B model [34], limiting context length to 512K tokens due 401 402 to constraints on our cloud compute budget, though our approach enables training with millions of context tokens. We use user-shared conversations gathered from ShareGPT.com with its public APIs 403 for finetuning, following methodologies as outlined in prior works [6, 12]. ShareGPT is a website 404 where users can share their ChatGPT conversations. To ensure data quality, we convert the HTML 405 back to markdown and filter out some inappropriate or low-quality samples, which results in 125K 406 conversations after data cleaning. 407

G Impact on In Context RL Performance

In addition to show the application of Ring Attention to finetune LLM in Section C.3, we present additional results of applying Ring Attention for learning trial-and-error RL experience using Transformers. We report our results in Table 5, where we evaluate our proposed model on the ExoRL benchmark across six different tasks. On ExoRL, we report the cumulative return, as per ExoRL [37]. We compare BC, DT [4], AT [21], and AT with memory efficient attention [27] (AT+ME), AT with blockwise parallel transformers [22] (AT+BPT), and AT with our Ring Attention (AT+Ring Attention). The numbers of BC, DT, AT are from the ExoRL and AT paper. AT + Ring Attention numbers are run by ourselves. Since the ExoRL data is highly diverse, having been collected using unsupervised RL [18], it has been found that TD learning performs best, while behavior cloning struggles [37]. AT [21] shows that conditioning Transformer on multiple trajectories with relabeled target return can achieve competitive results with TD learning. For more details, please refer to their papers. We are interested in applying Ring Attention to improve the performance of AT by conditioning on a larger number of trajectories rather than 32 trajectories in prior works. It is worth noting that each trajectory has 1000×4 length where 1000 is sequence length while 4 is return-state-action-reward, making training 128 trajectories with modest 350M size model infeasible for prior state-of-the-art blockwise parallel transformers. Results in Table 5 show that, by scaling up the sequence length (number of trajectories), AT + Ring Attention consistently outperforms oringal AT with BPT across all six tasks, achieving a total average return of 113.66 compared to the AT with BPT model's total average return of 111.13. The results show that the advantage of Ring Attention for training and inference with long sequences.

H Training FLOPs Scaling of Context Size

Given that our proposed approach unlocks the possibility of training with a context size exceeding 100 million tokens and allows for linear scaling of the context size based on the number of devices, it is essential to understand how the training FLOPs per dataset scale with the context size. While a larger context size results in a higher number of FLOPs, the increased ratio does not scale quadratically because the number of tokens remains fixed. We present these results in Figure 5, which showcases various model sizes and context lengths, representing different computational budgets. The figure illustrates the ratio of FLOPs for larger context lengths compared to the same model with a shorter 4K context size. We calculated the per sequence FLOPs using $(24bsh^2 + 4bs^2h)n$ where h is



Figure 5: The per dataset training FLOPs cost ratio relative to a 4k context size, considering different model dimensions. On the x-axis, you'll find the context length, where, for example, 32x(128k) denotes a context length of 128k, 32x the size of the same model's 4k context length.

model hidden dimension, b is batch size, s is total sequence length, and n is number of layers. The 438 per dataset FLOPs ratio is then given by $((24bs_2h^2 + 4bs_2^2h)/(24bs_1h^2 + 4bs_1^2h))/(s_2/s_1) =$ 439 $(6h + s_2)/(6h + s_1)$, where s_2 and s_1 are new and old context lengths. Model sizes and their 440 hidden dimensions are as follows: LLaMA-7B (4096), LLaMA-13B (5140), LLaMA-33B (7168), 441 LLaMA-65B (8192), GPT3-175B (12288), and 1TB (36864). These model configurations are from 442 LLaMA [34] and GPT-3 [3] papers, except the 1TB model size and dimension were defined by us. 443 As depicted in Figure 5, scaling up small models to a 1M context size results in approximately 20-40 444 times more FLOPs, and even more for 10M and 100M token context sizes. However, as the model 445 sizes increase, the cost ratio decreases. For instance, scaling up the 170B model from 4K to 10M 446 incurs 162.6x higher per dataset FLOPs, despite the context size being 3072 times longer. 447